



PFO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET
33216M076SERIAL NO.
10/725,929

APPLICANT:

Chiyoko Matsumi, et al.

FILING DATE
December 3, 2003GROUP ART UNIT
2186

U.S. PATENT DOCUMENTS

*Examiner's Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE, IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

*Examiner's Initials		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
MP	AG	11-317025	11/16/99	Japan			Abstract and partial translation	
MP	AH	2000-235780	8/29/00	Japan			Abstract and partial translation	

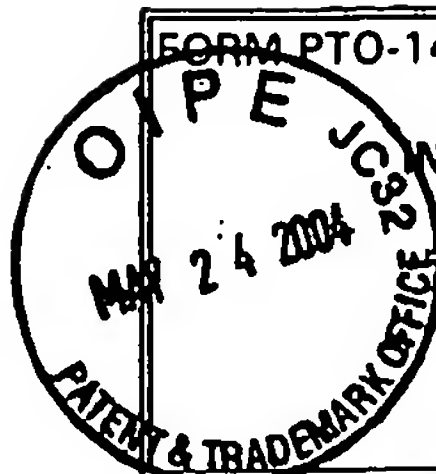
OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)

	AI	
	AJ	
	AK	
	AL	
	AM	
	AN	
	AO	
	AP	

EXAMINER:
/Monica Pyo/

DATE CONSIDERED: 05/26/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT 	ATTY. DOCKET 33216M076	SERIAL NO. 10/725,929
	APPLICANT: Chiyoko Matsumi, et al.	
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U.S. PATENT DOCUMENTS

*Examiner's Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE, IF APPROPRIATE
MP	AA	2002/0049731	4/25/02	Kotani			Copending application
✓	AB	6,285,826	9/4/01	Murase, et al.			
✓	AC	6,400,893	6/4/02	Murase, et al.			
	AD	6,424,797	7/23/02	Murase, et al.			
	AE	6,351,442	2/26/02	Tagawa, et al.			
	AF	2003/174593	9/18/03	Tagawa, et al.			Copending application
↓	AG	2002/034130	3/21/02	Tagawa, et al.			Copending application
MP	AH	6,442,333	8/27/02	Izawa			

FOREIGN PATENT DOCUMENTS

*Examiner's Initials		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
							YES	NO
MP	AI	WO 02/41319	5/23/02	PCT				
	AJ	EP 1 115 118	7/11/01	EPO				
	AK	EP 0 962 929	12/8/99	EPO				
	AL	EP 0 926 680	6/30/99	EPO				
↓	AM	EP 0 949 622	10/13/99	EPO				
MP	AN	EP 0 957 487	11/17/99	EPO				

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)

mp.	AO	Apple Computer, Inc., "Audio Interchange File Format version 1.3: "AIFF Online", 4 January 1989, XP0002274283, pp. 1-26
	AP	
EXAMINER: /Monica Pyo/		DATE CONSIDERED: 05/26/2006
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/725,929
Filing Date	December 3, 2003
First Named Inventor	C. MATSUMI
Art Unit	2161
Examiner Name	Monica M. Pyo
Attorney Docket No.	MTS-3580US

SHEET 1 of 3

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
MP		US-6,006,234	12/21/1999	GOVINDARAJAN et al.	
		US-6,215,746	04-10-2001	ANDO ET AL.	
		US 6,408,301	06-18-2002	PATTON et al.	
		US 6,282,365 B1 (with counterpart JP 3098237)	08/28/2001	GOTOH ET AL.	
		US 6,292,625 B1 (with counterpart JP 3098237)	09/18/2001	GOTOH ET AL.	
		US 6,314,235 B1 (with counterpart JP 3098237)	11/06/2001	GOTOH ET AL.	
		US 6,574,420 B1 (with counterpart JP 3098237)	06/03/2003	GOTOH ET AL.	
		US 2003/0194216 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
		US 2003/0194217 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
✓		US 2003/0194218 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
MP		US 2003/0206721 A1 (with counterpart JP 3098237)	11-06-2003	GOTOH ET AL.	
		US 2001/026504	10-04-2001	NONAKA et al.	
		US 2002/164152	11-07-2002	KATO et al.	
		US 2003/103604	06-06-2002	KATO et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
MP		EP 1 150 297	10-31-2001	Pioneer Corporation		
MP		EP 1 198 132	04-17-2002	Sony Corp.		

Examiner
Signature

/Monica Pyo/

Date
Considered

05/26/2006

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¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete If Known

Application Number 10/725,929

Filing Date December 3, 2003

First Named Inventor C. MATSUMI

Art Unit 2161

Examiner Name Monica M. Pyo

Attorney Docket No. MTS-3580US

SHEET 2 of 3

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
MP		EP 1 411 520	04-21-2004	Microsoft Corporation		<input type="checkbox"/>
		EP 1 248 259	10-09-2002	SAMSUNG ELECTRONICS CO., LTD.		<input type="checkbox"/>
		JP 3098237 (with English abstract from JP 2000-322876 and with counterparts US 6,282,365, US 6,292,625, US 6,314,235, US 6,574,420, US 2003/0194216, US 2003/0194217, US 2003/0194218, and US 2003/0206721)	08-11-2000	Matsushita Electric Industrial Co., Ltd.		
		JP 11 297006 (English abstract only)	10-29-1999	Sanyo Electric Co Ltd		<input type="checkbox"/>
		JP 3297333 (with English Abstract from JP 09-198778)	04-12-2002	INTERNATL BUSINESS MACH CORP		<input type="checkbox"/>
		JP 2002-313070 (with English Abstract)/	10-25-2002	MATSUSHITA ELEC IND CO LTD		<input type="checkbox"/>
		JP 2004-152359 (English abstract only)	05-27-2004	Sharp Corp		<input type="checkbox"/>
		WO 03/023781	3-20-2003	Thomson Licensing S.A.		<input type="checkbox"/>
		WO 01/28222	4-19-2001	Perception Digital Technology Limited		<input type="checkbox"/>
		WO 2003/054870 A3	07-03-2003	Konin-Klijke Philips Electronics N.V.		<input type="checkbox"/>
		WO 2004/027774 A1	04-01-2004	Koninklijke Philips Electronics N.V.		<input type="checkbox"/>
MP		WO 2004/008460	01-22-2004	Apple Computer Inc.		<input type="checkbox"/>
Examiner Signature	/Monica Pyo/			Date Considered	05/26/2006	

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Art Unit	2161
Examiner Name	Monica M. Pyo
Attorney Docket No.	MTS-3580US

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
MP		ALESIS: "MASTERLINK REFERENCE MANUAL", [Online], 2001, ALESIS, Retrieved from the Internet: URL:web.archive.org/web/20000902235408/www.alesis.com/downloads/manuals/ml9600_man.pdf [retrieved on 2005-03-16]	<input type="checkbox"/>
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Signature

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